



2123 #4  
02.11.02

Attorney Docket No. YOR920010429US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Charu C. Aggarwal  
Docket No.: YOR920010429US1  
Serial No.: 09/929,202  
Filing Date: August 14, 2001  
Group: 2123  
Examiner: To be assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: Shirley A. Glaser

Date: February 1, 2002

Title: Methods and Apparatus for User-Centered Similarity Learning

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RECEIVED**  
**FEB 15 2002**  
**Technology Center 2100**

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patent Documents

1. A. Hinneburg et al., "What is the nearest neighbor in High Dimensional Space?," VLDB Conference, 2000.
2. C.C. Aggarwal, "Re-designing distance functions and distance based applications for high dimensional data," ACM SIGMOD Record, March 2001.
3. C.C. Aggarwal et al., "Reversing the dimensionality curse for similarity indexing in high dimensional space," ACM SIGKDD Conference, 2001.
4. J. Gehrke et al., "BOAT: Optimistic Decision Tree Construction," ACM SIGMOD Conference Proceedings, pp. 169-180, 1999.
5. J. Gehrke et al., "RainForest: A Framework for Fast Decision Tree Construction of Large Data Sets," VLDB Conference Proceedings, 1998.
6. R. Rastogi et al., "PUBLIC: A Decision Tree Classifier that Integrates Building and Pruning," VLDB Conference, 1998.

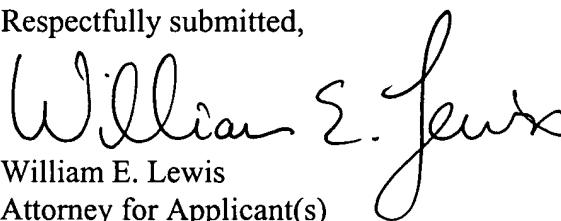
7. J. Shafer et al., "SPRINT: A Scalable Parallel Classifier for Data Mining," VLDB Conference, 1996.

8. M. Mehta et al., "SLIQ: A Fast Scalable Classifier for Data Mining," EDBT Conference, 1996.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

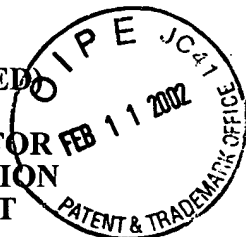


William E. Lewis  
Attorney for Applicant(s)  
Reg. No. 39,274  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-2946

Date: February 1, 2002

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT



Applicant(s): Charu C. Aggarwal  
Docket No.: YOR920010429US1  
Serial No.: 09/929,202  
Filing Date: August 14, 2001  
Group: 2123

RECEIVED

FEB 15 2002

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER						FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS		IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER						TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

OTHER DOCUMENTS

EXAMINER			
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	

1. A. Hinneburg et al., "What is the nearest neighbor in High Dimensional Space?," VLDB Conference, 2000.
2. C.C. Aggarwal, "Re-designing distance functions and distance based applications for high dimensional data," ACM SIGMOD Record, March 2001.
3. C.C. Aggarwal et al., "Reversing the dimensionality curse for similarity indexing in high dimensional space," ACM SIGKDD Conference, 2001.
4. J. Gehrke et al., "BOAT: Optimistic Decision Tree Construction," ACM SIGMOD Conference Proceedings, pp. 169-180, 1999.
5. J. Gehrke et al., "RainForest: A Framework for Fast Decision Tree Construction of Large Data Sets," VLDB Conference Proceedings, 1998.
6. R. Rastogi et al., "PUBLIC: A Decision Tree Classifier that Integrates Building and Pruning," VLDB Conference, 1998.
7. J. Shafer et al., "SPRINT: A Scalable Parallel Classifier for Data Mining," VLDB Conference, 1996.
8. M. Mehta et al., "SLIQ: A Fast Scalable Classifier for Data Mining," EDBT Conference, 1996.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.